

H-A

Sector

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002: OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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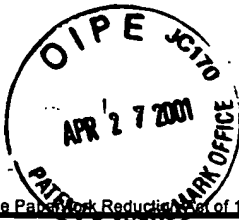
| | | | |
|--|-----------------------------|-------------------------------|------------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 09/723,482 | |
| | Filing Date | November 28, 2000 | |
| | First Named Inventor | Dave McDysan et al. | |
| | Group Art Unit | 2151 | |
| | Examiner Name | Not Assigned | |
| Total Number of Pages in This Submission | 12 | Attorney Docket Number | RIC-00-033 |

| ENCLOSURES (check all that apply) | | |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Copy of Not. to File Missing Parts; Return Postcard Declaration |
| Remarks | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|---|
| Firm or Individual name | Paul A. Roberts Reg. No. 40,289 WorldCom, Inc. |
| Signature | |
| Date | 4/26/01 |

| CERTIFICATE OF MAILING | |
|--|----------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 4/26/01 | |
| Typed or printed name | Tracy Goodwin |
| Signature | |
| Date | April 26, 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 130.00

Complete if Known

| | |
|----------------------|---------------------|
| Application Number | 09/723,482 |
| Filing Date | November 28, 2000 |
| First Named Inventor | Dave McDysan et al. |
| Examiner Name | Not Assigned |
| Group Art Unit | 2151 |
| Attorney Docket No. | RIC-00-033 |

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit...
Account Number **13-2491**
Deposit Account Name **WorldCom, Inc.**
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ **Payment Enclosed:**
- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

| | Large Entity | Small Entity | Fee Description | Fee Paid |
|-----|--------------|--------------|------------------------|----------|
| | Code (\$) | Code (\$) | | |
| 101 | 710 | 201 355 | Utility filing fee | |
| 106 | 320 | 206 160 | Design filing fee | |
| 107 | 490 | 207 245 | Plant filing fee | |
| 108 | 710 | 208 355 | Reissue filing fee | |
| 114 | 150 | 214 75 | Provisional filing fee | |

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

| | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims | 20** = | X | |
| Independent Claims | 3** = | X | |
| Multiple Dependent | | | |

| | Large Entity | Small Entity | Fee Description |
|-----|--------------|--------------|--|
| | Code (\$) | Code (\$) | |
| 103 | 18 | 203 9 | Claims in excess of 20 |
| 102 | 80 | 202 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 135 | Multiple dependent claim, if not paid |
| 109 | 80 | 209 40 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

| | Large Entity | Small Entity | Fee Description | Fee Paid |
|-----|--------------|--------------|--|----------|
| | Code (\$) | Code (\$) | | |
| 105 | 130 | 205 65 | Surcharge - late filing fee or oath | 130.00 |
| 127 | 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 130 | Non-English specification | |
| 147 | 2,520 | 147 2,520 | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 55 | Extension for reply within first month | |
| 116 | 390 | 216 195 | Extension for reply within second month | |
| 117 | 890 | 217 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 155 | Notice of Appeal | |
| 120 | 310 | 220 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 135 | Request for oral hearing | |
| 138 | 1,510 | 138 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 220 | Design issue fee | |
| 144 | 600 | 244 300 | Plant issue fee | |
| 122 | 130 | 122 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 710 | 279 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130.00

SUBMITTED BY

| | | | | | |
|-------------------|-----------------|-----------------------------------|---------|-----------|--------------|
| Name (Print/Type) | Paul A. Roberts | Registration No. (Attorney/Agent) | 40,289 | Telephone | 202-736-6604 |
| Signature | | Date | 4/26/01 | | |

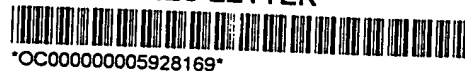
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.USPTO.GOV

CONFIRMATION NO. 7585

FORMALITIES LETTER



Date Mailed: 04/03/2001

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

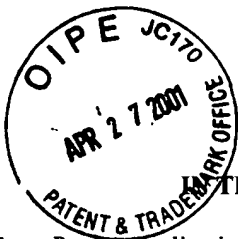
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/01/2001 MBERKE 00000013 132491 09723482
01 FC:105 130.00 CH



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Dave McDysan

BOX: MISSING PARTS

Application No.: 09/723,482

Group Art Unit: 2151

Filed: November 28, 2000

Examiner: Not Assigned

Title: Network Access System Including A Programmable Access Device Having Distributed Service Control

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(e) dated April, 3, 2001, enclosed please find:

- ☒ [X] a Declaration and Power of Attorney executed by the inventor(s) and the surcharge of \$130.00 (fee code 105) as set forth in 37 C.F.R. § 1.16(e);
- ☐ [] Please note that the inventor(s) identified on the currently filed Declaration and Power of Attorney are different than those listed on the application filing papers.
- ☐ [] a Request for Refund;
- ☐ [] a Petition for Extension of Time;
- ☐ [] an Assignment and a separate check for the Assignment recordation fee in the amount of \$40.00 (fee code 581);
- ☐ [] other:
- ☐ [] a check in the amount of \$ _____ for the fee due for missing parts; and
- ☒ [X] charge \$130.00 to Deposit Account No. 13-2491 for the fee due for missing parts.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper or to credit any overpayment to Deposit Account No. 13-2491. This paper is submitted in duplicate.

Respectfully submitted,

Paul A. Roberts
Attorney
Registration No. 40,289

Date: 4/26/01
WorldCom, Inc.
1133 19th Street, NW
Washington, DC 20036
202-736-6604